

Status of Current Projects December 12, 2022

Audit	Status/Next Steps	Impediments	Team Leader	Anticipated (Actual) Date to Mgt
In-Rem Process	Exit conference scheduled 12/19/22.		Hagley	12/2/22
OCC (Bond request)	Terminating audit due to data migration problems.		Braithwaite	11/28/22
Municipal Market Lease	Editing draft report.		Hagley	Late December 2022
Solid Waste Services	Fieldwork verification conference scheduled 12/13/22. Beginning to outline draft report.		Hagley	Early January 2023
Management of Plane Train Contract	Field work verification conference today. Beginning to outline draft report.		Robinson	Mid-January 2023
Grants Management	Finishing fieldwork.		Robinson	Late February 2023
Business Licensing	Fieldwork. We decided to do limited testing because control design is weak. We are doing some analytic and descriptive tests.		Braithwaite	Mid-February 2023
Aviation Capital Projects (APD Facilities)	Started preliminary planning.		Braithwaite	Mid-March 2023
Oracle Timekeeping Configuration	Continuing to attend periodic status meetings. City implemented phase I (pay-by-schedule employees) and is working on phase II (procuring time capture devices)		Braithwaite	TBD; Issued interim memo 2/3/22
Continuous Audit	<ul style="list-style-type: none"> • Citywide access to edit contract fields revoked • Citywide ability to view TINs of suppliers revoked • Removed unnecessary procurement access from several users • Continued to identify and recommend resolution for employee/supplier data matches • Finished identifying and filtering false positive results for procurement access controls • Finished initial cleanup of procurement access controls (except for previously identified super users) • Revoked access to import supplier bank 		Ervin	

	<p>accounts from various HR roles</p> <ul style="list-style-type: none">• Updated policies and procedures for continuous monitoring: defined monthly, quarterly, and annual responsibilities of audit office staff and departmental investigators• Created job aid for departmental investigators to update status of results for access controls• Researched annual risk assessment methods and developed roadmap for updating audit universe/citywide risk library			
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