

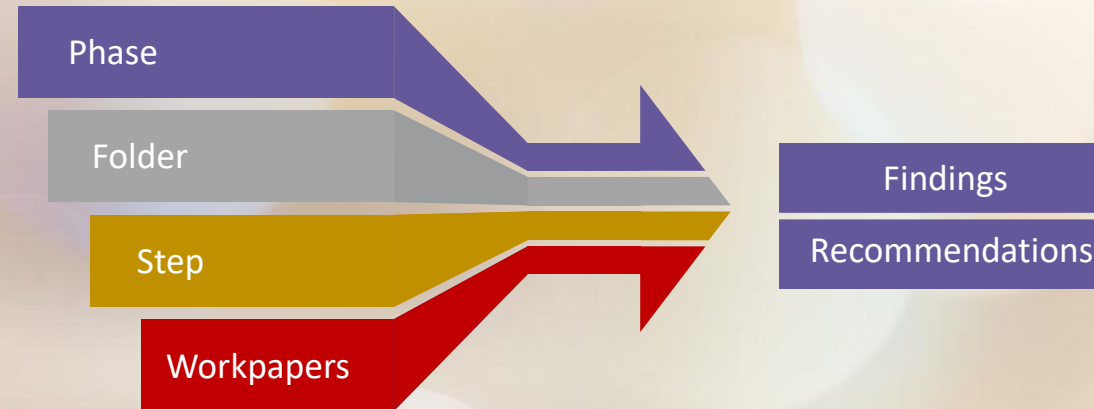
Missionmark Audit Platform

Audit Automation & Workflow Software

System Benefits

- Automated workpapers
 - Cross-referencing between workpapers, and from workpapers to the audit report draft
 - Workpaper review and signoff
 - Audit plan templates
 - Interactive recommendation tracking
 - Internal and public-facing recommendation dashboards
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System Structure



Implementation Status

- Currently in UAT (user acceptance testing) phase of implementation
- Holding training sessions with Missionmark staff with assistance from AIM
- Missionmark is developing training videos on specific areas
- Audit recommendations from 2006 to date are loaded in the system
- Plan to enter new audits into the system

Missionmark Audit Platform

Audit Automation & Workflow Software

Planned Audit Plan Template

Audit Plan Templates / Standard Project Backlog Format

- 1. Project Planning Phase (PP)
- 2. Fieldwork Phase (FW)
- 3. Finding Development Phase (FD)
- 4. Report Drafting Phase (RD)
- 5. Auditee Feedback and Response Phase (AR)
- 6. Project Closeout Phase (PC)

Phases

2. Fieldwork Phase (FW) [Edit Phase] [Add Folder]

- 1. Understand nature and profile of the program and user needs
- 2. Determine significance and obtain understanding of internal control
- 3. Procedures to assess internal control
- 4. Information systems controls
- 5. Identify laws, regulations, contracts, and grant requirements
- 6. Assess fraud risk
- 7. Identify criteria
- 8. Identify sources of data
- 9. Using the work of others

Folders Within Phase 2 (Fieldwork)

This template structure allows us to continue using Agile project management.

Recommendation Dashboard

Test Audit | All Recommendations | 0 | 1

All Recommendations | Dashboard | Publish Recommendations | Publish Dashboard

Showing all Draft & Published: all recommendations, along with any draft changes

114 AUDIT REPORTS | 776 RECOMMENDATIONS

Summary | By Department | By Audit

Recommendations Issued by Year

Year	Not Started	Started	Partly Implemented	Implemented	Dropped
2006	60	19	0	0	0
2007	11	48	0	66	0
2008	4	25	0	9	0
2009	18	57	0	50	0
2010	11	7	0	0	0
2011	5	20	0	16	0
2012	12	1	0	0	0
2013	6	14	0	7	0
2014	4	6	25	9	0
2015	2	7	23	6	0
2016	5	33	4	0	0
2017	9	40	2	0	0
2018	5	11	28	24	0
2019	4	15	14	2	0
2020	0	0	0	0	0
Unissued	0	0	0	0	0

Recommendations by Type

Type	Count
Internal Controls	220
Monitoring and Reporting	123
Safeguard Assets	138
HR Management	45
Planning and Budgeting	62
Contract Management	34
Cost Control	49
Compliance with External Regulations	49
Internal Controls	49
venue Collection and Cost Recovery	49
Process Improvement	220

Recommendations by Implementation Phase

Phase	Count
Not Started	60
Started	17
Partly Implemented	58
Implemented	421
Dropped	220