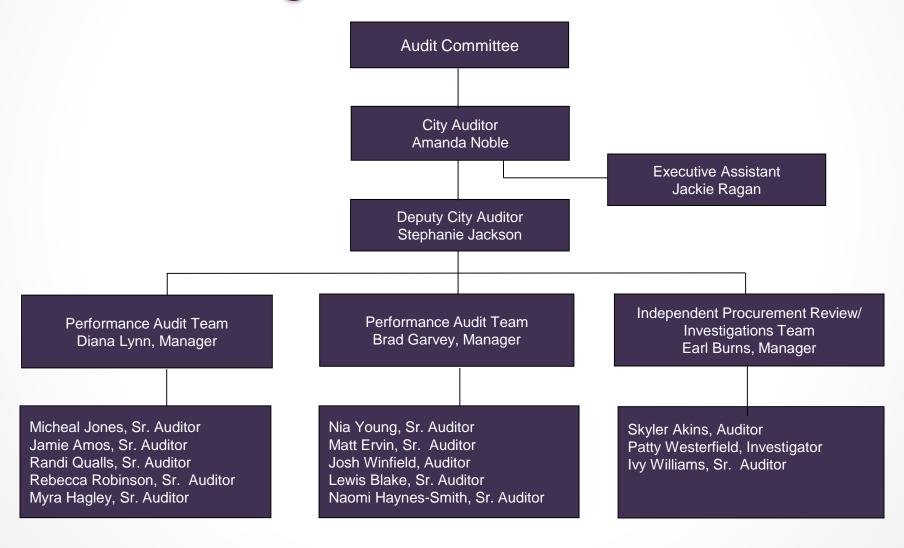


# City Auditor's Office

**Quarterly Report to Finance/Executive Committee May 29, 2019** 

### **Organization Chart**



#### Audits Released in FY19

Date	Report
July 2018	Affordable Housing
September 2018	Renew Atlanta Fire Station Renovations
October 2018	Water Quality Testing
December 2018	Atlanta Police Department Body-Worn Cameras
December 2018	Renew Atlanta and TSPLOST Review of Expenditures
February 2018	Citywide Overtime
April 2019	Expired Contracts and Cooperative Agreements
May 2019	AFRD Inventory Management of Medical Supplies

# Audits in Progress

Report	Planned Release Date
Super Bowl LIII Emergency Preparedness	June 2019
Fire Hydrant Inspections and Maintenance	June 2019
Code Enforcement	August 2019
APD Fleet Acquisition and Maintenance	August 2019
Live Nation Contracts	September 2019
WorkSource Atlanta	October 2019
Office of Aviation Security and Maintenance	November 2019

### Contract Audits in Progress

- Renew Atlanta: Natatorium
- Renew Atlanta: Northside Drive Pedestrian Bridge
- Actuarial Audit (Defined Benefit Pension Plans)

# Projects on Audit Plan Not Yet Started

- Hiring
- Contract Management
- Renew Atlanta

# Independent Procurement Review

#### Solicitation Development

Advertisement

Responsiveness Review/Submittal Review

Evaluation

Award

- Unclear or restrictive scope or selection criteria could favor a particular bidder
- Addenda could change scope or criteria in a way that favors a particular bidder
- State law and city code set minimum times to advertise; unreasonably short times to respond could suppress competition
- Unclear or inconsistent responsiveness determinations could exclude qualified bidders or include unqualified bidders
- Relationships among bidders could suppress competition
- Evaluators must be qualified and free from conflicts of interest
- Patterns in bid spread could indicate collusive bidding
- Errors in scoring could alter the outcome

• Decisions should be documented and the file complete to ensure a fair and transparent process was followed

Solicitations

#### Investigations

Allegation Received • Originate from hotline, audits or direct complaints

Allegation Review  Preliminary inquiry to determine whether to close, investigate or refer

Investigative Plan

 Develop and execute an iterative investigative plan tailored to complaint type

Investigation Summary • Team prepares a summary of findings

Public Report or Management Communication

• Public report of administrative findings if allegation is substantiated

3 Open Cases

4 Closed Cases

- o 1 public report
- 2 internal management communications
- 1 unsubstantiated

## Follow-up on Audit Recommendations

- Auditor Issues Audit Report
- Auditor Enters
   Recommendations into
   Internal Database

Auditors Send Quarterly
Report of Open
Recommendations to COO

COO Provides
Status/Supporting
Documents to Auditor

COO Obtains
Status/Support from
Audited Department

 Auditor Reviews Support and Works with COO to Agree on Status of Recommendation:

Implemented:

Close Recommendation

Partially Implemented: Follow Up Next Quarter

'

Not Implemented

Follow Up Next Quarter

156 Open Recommendations

Auditor Reports
 Quarterly Status of
 Recommendations to
 City Council

# Audit Recommendations Implemented FY19

Report	Released	# of Recs
Firefighter Staffing	10/17/2011	1
Combined Sewer Overflow Consent Decree Impact	1/28/2014	2
Building Permits	6/30/2015	4
Work Order Management	1/19/2016	6
Oracle Segregation of Duties	6/29/2016	3
Department of Watershed Management Efforts to Reduce Water Loss	4/17/2017	3
Department of Parks & Recreation Parks Maintenance	7/17/2017	7
Renew Atlanta Roadway Resurfacing	11/6/2017	4
Affordable Housing	7/2/2018	1
Atlanta Police Department Body-Worn Cameras	12/3/2018	10

# Questions?

